

1 Aflatoxins in Uganda: An Encyclopedic Review of the Etiology, 2 Epidemiology, Detection, Quantification, Exposure Assessment, 3 Reduction and Control

4 Timothy Omara^{1,2,3}, Winfred Nassazi^{1,3}, Tom Omute⁴, Aburu Awath,^{5,6} Fortunate Laker^{3,7},
5 Raymond Kalukusu,^{3,7} Bashir Musau^{3,7}, Brenda Victoria Nakabuye^{7,8}, Sarah Kagoya^{3,9}, George
6 Otim³, and Eddie Adupa^{3,10}

7 ¹ Department of Chemistry and Biochemistry, School of Biological and Physical Sciences, Moi University, Uasin
8 Gishu County, Kesses, P.O. Box 3900-30100, Academic Highway, Eldoret, Kenya

9 ² Department of Quality Control and Quality Assurance, Product Development Directory, AgroWays Uganda
10 Limited, Plot 34-60 Kyabazinga Way, P.O. Box 1924, Jinja, Uganda.

11 ³ Department of Chemistry, Faculty of Science, Kyambogo University, P.O. Box 1, Kampala, Uganda

12 ⁴ Department of Biochemistry, Faculty of Health Sciences, Lira University, P.O. Box 1035, Lira, Uganda

13 ⁵ Standards Department, Uganda National Bureau of Standards, Plot 2-12 Bypass link, Bweyogerere Industrial and
14 Business park, P.O. Box 6329, Kampala, Uganda

15 ⁶ Department of Food Technology and Nutrition, School of Food Technology, Nutrition and Bioengineering,
16 College of Agricultural and Environmental Sciences, Makerere University, P.O.Box 7062, Kampala, Uganda

17 ⁷ Department of Quality Control and Quality Assurance, Leading Distillers Uganda Limited, Plot 3382/83, Buloba,
18 P.O.Box 12369, Kampala, Uganda

19 ⁸ Department of Food Processing Technology, Faculty of Science, Kyambogo University, P.O.Box 1, Kampala,
20 Uganda

21 ⁹ Department of Quality Control and Quality Assurance, Product Development Directory, Sweets and
22 Confectioneries Section, Kakira Sugar Limited, Jinja-Iganga Highway, P.O. Box 121, Jinja, Uganda

23 ¹⁰ Department of Quality Control and Quality Assurance, Abacus Parenteral Drugs Limited, Block 191, Plot 114,
24 Kinga, Mukono, P.O. Box 31376, Kampala, Uganda

25

26 Corresponding Author:

27 Timothy Omara ^{1,2,3}

28 Africa Center of Excellence II in Phytochemicals, Textile and Renewable Energy, Moi
29 University, Uasin Gishu County, Kesses, Eldoret-Kenya

30 Email address: prof.timo2018@gmail.com/timothy.omara@agroways.ug

31 Abstract

32 Uganda is predominantly an agricultural country where farming employ more than 60% of the
33 population. Aflatoxins remain a scourge in the country, unprecedentedly reducing the value of
34 agricultural foods and in high enough exposure levels, implicated for hepatocellular carcinoma,
35 stunted growth in children and untimely deaths. This review synthesizes the country's major
36 findings in relation to the mycotoxin's etiology, epidemiology, detection, quantification, exposure
37 assessment, control and reduction in different matrices. It also highlights some of the management
38 strategies for aflatoxin control that could be adopted in Uganda. Review results indicate that
39 aflatoxins in Uganda is majorly produced by *Aspergillus flavus* and *A. parasiticus* and have been
40 reported in maize (*Zea mays* L.), sorghum (*Sorghum bicolor* L.), sesame (*Sesamum indicum*),

41 beans (*Phaseolus vulgaris* L.), sunflower (*Helianthus annuus*), millet (*Eleusine coracana*), a bovine
42 milk-based product, peanuts (*Arachis hypogaea* L.) and cassava (*Manihot esculenta*) with the
43 highest content reported in cassava, beans and peanuts. The causes and proliferation of
44 aflatoxigenic contamination of Ugandan foods have been largely due to poor pre-, peri- and post-
45 harvest activities, poor government legislation, lack of awareness and low levels of education
46 among farmers, agri-entrepreneurs and consumers on the plague. Aflatoxin B₁ is the most
47 prevalent aflatoxin in Uganda. There is still limited research on aflatoxins in Uganda because the
48 surveillance, reduction and control carry prohibitive costs. A few exposure assessments have been
49 done especially in human sera and dependence on a single or a related set of foods with little diet
50 diversity has exacerbated the risk of exposure to aflatoxins in Uganda because most of the staple
51 foods are aflatoxin-prone. On the detection, control and reduction, these are still marginal, though
52 some devoted scholars have devised and validated a sensitive portable device for on-site aflatoxin
53 detection in maize as well as shown that starter cultures used for making some cereal-based
54 beverages have the potential to bind aflatoxins. More effort should be geared towards awareness
55 creation through training of farmers and traders in the cereal value chain as well as developing
56 capacity to monitor aflatoxins. Vaccination against Hepatitis B and Hepatitis A should be
57 emphasized to reduce the risk of development of liver cancer among the populace.

58 Introduction

59 1.1 Brief historical perspective

60 Aflatoxin is a portmanteau combining “a” for the *Aspergillus* genus, “fla” for the species *flavus*,
61 and *toxin* for poison [1-3]. The discovery of aflatoxins traces back to 1960 in which a severe
62 outbreak of turkey “X” disease was recorded in England with more than 100,000 turkeys, 20,000
63 ducklings, pheasants, chicks and partridge poults were reported to have died from the calamitous
64 incident [4]. The cause was chromatographically declared to be due to a series of fluorescent
65 compounds in a peanut meal imported from South America (Brazil) that was served to the poults
66 [5]. Later, the disease syndrome was reported in domesticated animals outside the Great Britain.
67 The causative mold, *Aspergillus flavus*, was finally isolated from a meal later related with a hepatic
68 problem in ducklings in Uganda [6]. The early history of the Turkey “X” disease outbreak in
69 Great Britain was described in sufficient details by Blount [4, 7] and the toxicity recorded in
70 various animal species were recapitulated by Allcroft [8].

71 1.2 Structure and properties of aflatoxins

72 Aflatoxins (AFs) are highly oxygenated polysubstituted coumarins with structures that differ
73 only very slightly. At least 18 different types of AFs have been chemically characterized, with the
74 six major ones being aflatoxin B₁ (AFB₁), aflatoxin B₂ (AFB₂), aflatoxin G₁ (AFG₁), aflatoxin G₂
75 (AFG₂) [9], aflatoxin M₁ (AFM₁) and aflatoxin M₂ (AFM₂) (**Table 1**). The B-aflatoxins, typically
76 pentanone derivatives, exhibit strong blue fluorescence under ultraviolet light while the G-series
77 (six-membered lactones) fluoresce yellow-green on thin layer chromatography plates, thus the B
78 and G designations [10, 11]. AFB₂ and AFG₂ are dihydroxy derivatives of AFB₁ and AFG₁, and

79 the latter AFs (AFG₁, AFB₂ and AFG₂) are not usually reported in the absence of AFB₁ [12]. The
80 M series are toxic metabolic derivatives of the B series that exhibit blue-violet fluorescence and
81 have been reported in milk of animals fed with aflatoxin-contaminated feed [13, 14], hence the
82 designation M [10, 15-18]. The subscripts 1 and 2 in AFs nomenclature are designations for major
83 and minor respectively. The minor AFs (M-series and lower members) have received description
84 as mammalian biotransformation products of the major metabolites [19].

85 Aflatoxins are produced mainly by *Aspergillus flavus*, *A. parasiticus*, *A. nomius* and *A. tamarii*
86 [20-23] which are universally soil-borne fungi responsible for decomposition of plant materials.
87 About 20 *Aspergillus* species have been reported to produce AFs [24], though the exploration of
88 more novel and potential aflatoxigenic fungi continues [25-29]. Most species produce B-type AFs
89 via the polyketide pathway as difuranocoumarin derivatives, although species related to *A.*
90 *parasiticus* and *A. nomius* are usually able to additionally produce G aflatoxins. Other species with
91 reported potential for production of both B and G AFs include *A. toxicarius*, *A. bombycis*, *A.*
92 *parvisclerotigenus*, *A. minisclerotigenes*, and *A. arachidicola* [30]. Four other aflatoxins: AFM₁,
93 AFM₂, AFB_{2A}, AFG_{2A} which may be produced in minor amounts have been isolated from cultures
94 of *A. flavus* and *A. parasiticus*. Closely related compounds namely: AFGM₁, parasiticol and
95 aflatoxicol are also produced by *A. flavus* [14].

96 Chemically, AFs are unique highly substituted coumarins containing a fused dihydrofurofuran
97 moiety [31]. The B-series are characterized by fusion of a cyclopentenone ring to the lactone ring
98 of the coumarin moiety, whereas the G series contain a fused lactone ring [32]. AFB₁ and AFG₁
99 possess an unsaturated bond at the 8, 9 position on the terminal furan ring, and some studies
100 illustrated that oxirane at this chemical position is pivotal for their toxicological potency. AFB₂
101 and AFG₂ are comparatively less toxic, unless they are first oxidized to AFB₁ and AFG₁ *in vivo*
102 [31]. AFs are soluble in polar protic solvents [13].

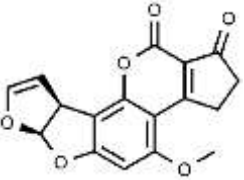
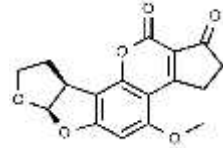
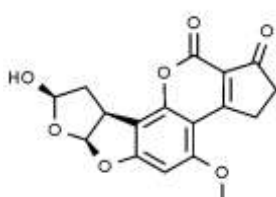
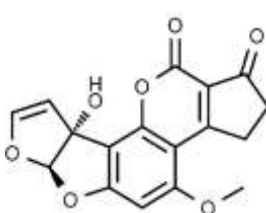
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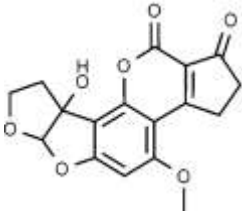
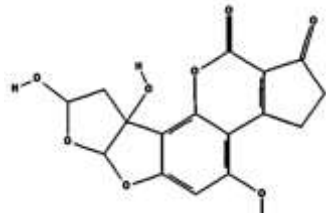
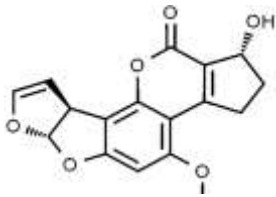
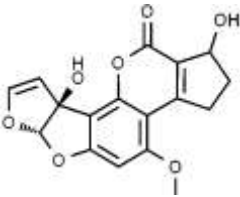
104 **1.3 Toxicological properties of aflatoxins**

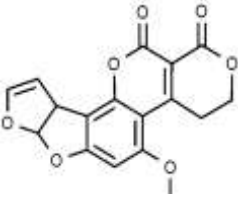
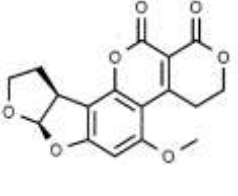
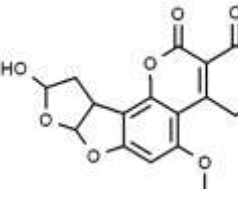
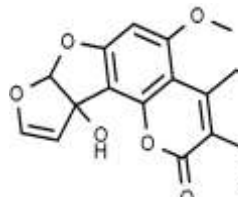
105 In kingdom animalia, AFs are reported to be multiplicatively carcinogenic, genotoxic,
106 tremorogenic, haemorrhagic, dermatitic, mutagenic, teratogenic and immunosuppressive [9]. They
107 display potency of toxicity, carcinogenicity and mutagenicity in the order : AFB₁ > AFM₁ > AFG₁
108 > AFB₂ > AFM₂ > AFG₂ as exemplified by their lethal dose that causes the death of 50% of
109 subjects (LD₅₀ values) being 0.1–50 mg/kg body weight for most animal species and < 1.0 mg/kg
110 body weight for susceptible species [33-35] (**Table 2**). The order also reflects the role played by
111 the epoxidation of the 8,9-double bond, and the greater potency associated with the cyclopentenone
112 ring of the B-series. Trial tests on animal species and mammalian cells have unveiled toxicities of
113 AFG₁, AFB₂ and AFG₂ as approximately 50%, 20% and 10% that of AFB₁ [36]. Susceptibility
114 though, varies with breed, species, age, dose, length of exposure and nutritional status (**Table 2**).

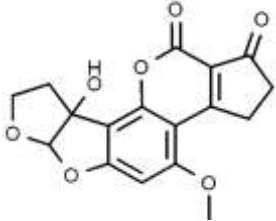
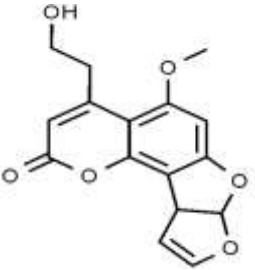
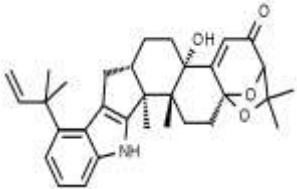
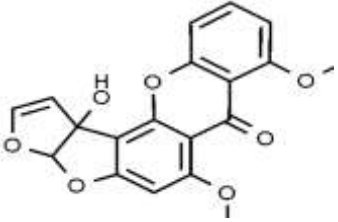
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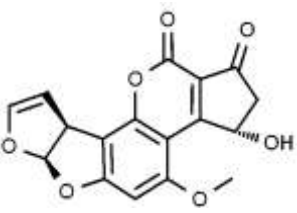
Table 1. Types and chemical structure of common aflatoxins

Difuranocoumarins	Aflatoxin	Chemical structure and molecular formula	Molecular weight (Kg/mol)	Metabolites
Difurocoumarocyclopentenone series	AFB ₁	 <chem>C17H12O6</chem>	312.274	
	AFB ₂	 <chem>C17H14O6</chem>	314.2895	
	AFB _{2A}	 <chem>C17H14O7</chem>	330.2889	
	AFM ₁	 <chem>C17H12O7</chem>	328.273	Metabolite of AFB ₁ in humans and animals and comes from a mother's milk. It is believed to be associated with the casein fraction of milk

AFM ₂	 <p>Chemical structure of AFM₂, a tricyclic aflatoxin derivative. It features a coumarin core with a dihydrofuran ring fused to the 2-position, a dihydroisobenzofuran ring fused to the 3-position, and a cyclopentenone ring fused to the 4-position. The structure includes a methyl group at the 7-position and a hydroxyl group at the 10-position.</p> <chem>C17H14O7</chem>	330.2889	Metabolite of aflatoxin B ₂ in milk of cattle fed on AF contaminated foods
AFM _{2A}	 <p>Chemical structure of AFM_{2A}, a tricyclic aflatoxin derivative. It features a coumarin core with a dihydrofuran ring fused to the 2-position, a dihydroisobenzofuran ring fused to the 3-position, and a cyclopentenone ring fused to the 4-position. The structure includes a methyl group at the 7-position and a hydroxyl group at the 10-position.</p> <chem>C17H14O8</chem>	346.069	Metabolite of AFM ₂
Aflatoxicol (AFL)	 <p>Chemical structure of Aflatoxicol (AFL), a tricyclic aflatoxin derivative. It features a coumarin core with a dihydrofuran ring fused to the 2-position, a dihydroisobenzofuran ring fused to the 3-position, and a cyclopentenone ring fused to the 4-position. The structure includes a methyl group at the 7-position and a hydroxyl group at the 10-position.</p> <chem>C17H14O6</chem>	314.289	Metabolite of AFB ₁
Aflatoxicol M ₁	 <p>Chemical structure of Aflatoxicol M₁, a tricyclic aflatoxin derivative. It features a coumarin core with a dihydrofuran ring fused to the 2-position, a dihydroisobenzofuran ring fused to the 3-position, and a cyclopentenone ring fused to the 4-position. The structure includes a methyl group at the 7-position and a hydroxyl group at the 10-position.</p> <chem>C17H14O7</chem>	330.2889	Metabolite of AFM ₁

Difurocoumarolactone series	AFG ₁	 <chem>C17H12O7</chem>	328.273	
	AFG ₂	 <chem>C17H14O7</chem>	330.289	
	AFG _{2A}	 <chem>C17H14O8</chem>	346.2883	Metabolite of AFG ₂
	AFGM ₁	 <chem>C17H12</chem>	344.272	

	AFGM ₂	 <p><chem>C17H14O7</chem></p>	330.2889	Metabolite of AFG2
	AFGM _{2A} AFB ₃ / Parasiticol	 <p><chem>C16H14O6</chem></p>	302.279	Metabolite of AFGM2
	Aflatrem	 <p><chem>C32H39NO4</chem></p>	501.656	
	Aspertoxin	 <p><chem>C19H14O7</chem></p>	354.310	

	AFQ ₁	 <chem>C₁₇H₁₂O₇</chem>	328.273	Major metabolite of AFB ₁ in <i>in-vitro</i> liver preparations of other higher vertebrates
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Source: Modified after [18][37].

Table 2. Median lethal dose (LD₅₀) values for aflatoxin B₁ administered as a single dose to different animal species

Animal	LD ₅₀ value (mg/Kg body weight)	Animal	Sex	Age/Size	Oral LD ₅₀ value (mg/Kg body weight)
Rainbow trout	0.6	Golden hamster	Male	30 days	10.2
Dog	1.0	Rat	Male	21 days	5.5
Mouse	9.0	Rat	Female	21 days	7.4
Duckling	0.3-0.6	Duckling	Male	1 day	0.37
Chicken	6.3	Dog	Male/Female	Adult	0.5
Monkey	2.2	Rat	Male/Female	1 day	1.0
Sheep	2.0	Pig	Unspecified	6-7 kg	0.6
Rat	5.5-17.5	Chicken embryo	Unknown	N/A	0.025
Guinea pig	1.4-2.0	Rat	Male	0.001 kg	17.5
Turkey poultry	0.5				

Source: Agag [36], Ciegler [37], Robens and Richard [38]; 1 mg/kg = 1000 µg/kg, N/A-Not applicable

Aflatoxin B₁ has been listed as a human class 1 carcinogen [39, 40] and the most potent carcinogen known [41, 42] that may play a part in the etiology of human liver cancer. This is due to its demonstrated ability to bind to nucleic acids (DNA and RNA) and proteins, forming adducts such as aflatoxin B₁-lysine with albumin [39, 43, 44]. The carcinogenicity of AFs have been shown to operate by a genotoxic mechanism involving metabolic activation to a genotoxic epoxide metabolite, formation of DNA adducts and modification of the TP53 gene where there is transversion of guanosine to thiamine leading to carcinogenicity [42]. AFs interact with the basic metabolic pathways of the cell, disrupting key enzyme processes including carbohydrate and lipid metabolism as well as protein synthesis. It is unfortunately reported that where AFs are detected in

129 foods, AFB₁ usually exceeds half the total amount present, explaining the reason why compliance
130 limits for AFs include AFB₁, and a number of analytical methods have been developed and
131 validated to quantify its concentration [45]. Aflatoxin M₁, like AFB₁ is a classified group 2B
132 probable human carcinogen [46].

133 Human exposure to AFs has documented deleterious health effects, including acute aflatoxicosis
134 and, following chronic exposure, liver cancer with 8.19 cases reported per 100,000 inhabitants in
135 Africa annually [43], about 3,700 of which is from Uganda [47]. In fact, the risk of developing
136 liver cancer is reported to be high (50% more) in cases where the individuals are carriers of
137 Hepatitis B and Hepatitis C surface antigens [48]. In addition, AFs impair protein synthesis, induce
138 coagulation, weight gain and immunogenesis [38].

139 Food-borne AFs have been implicated for inducing infantile stunting [49, 50] probably by
140 interfering with protein synthesis and the activity of micronutrients (vitamins: A, B₁₂, C, D and E,
141 zinc, selenium, iron and calcium) [14]. Diminished feeding and weight loss have been reported in
142 domesticated animals fed on AF contaminated feed [49], ensued by death. AFs also cause lower
143 milk and egg production as well as immune suppression due to the reaction of AF with T-cells
144 (perforin, perforin-expressing and granzyme A-expressing CD8⁺ T cells) [51] and a decrease in
145 vitamin K activities [38].

146 All these have economic impacts, extensible to the national economy, estimated at 128 billion
147 annually for Uganda [52]. In 2013, more than 600,000 tons of maize worth Uganda shillings 10
148 billion destined for export to the neighbouring Kenya was rejected because they had AFs above
149 regulatory limits [53].

150 **2.0 Etiology of Aflatoxins in Uganda and the Commodities Contaminated**

151 **2.1 Etiology**

152 In Uganda, AFs are produced predominantly by *A. flavus* and *A. parasiticus* [54]. *A. flavus* is
153 ubiquitous and is reported to produce AFB₁ and AFB₂ along with other mycotoxins: cyclopiazonic,
154 kojic and aspergillic acids [30]. *A. parasiticus* produces AFB₁, AFB₂, AFG₁ and AFG₂
155 accompanied by mycotoxic Kojic and aspergillic acids [30, 55, 56].

156 The climatic conditions in Uganda such as heavy rains, sudden droughts, high humidity, average
157 temperature of 25°C, occasional floods as well as poor pre-, peri and post-harvest handling of foods
158 by farmers and traders in the food value chain (drying harvested food crops on bare grounds, drying
159 on polyethene/polypropylene sheets, drying on papyrus mats, leaving crops to dry in the field for
160 a long time thus predisposing them to pest damage as well as mechanical damage) have been
161 implicated for the proliferation of AFs in Ugandan foods [54].

162 Other biophysical factors such as soil factors (substrate composition), crop species (host-plant
163 susceptibility and genotype), fungal populations (strain specificity and variation, instability of
164 toxigenic properties) as well as levels of education, awareness and gender are another probable set
165 of direct factors contributing to AF contamination and prevalence in agricultural foods in Uganda
166 as reported elsewhere [57, 58]. Other factors that may influence AF production include water

167 activity, pH, atmosphere (concentration of oxygen and carbon dioxide), microbial competition,
168 mold lineage, plant stress and use of fungicides or fertilizers.

169 *A. flavus* and *A. parasiticus* are semithermophilic and semixerophytic, thriving favourably
170 between 12°C to 48°C and at lower water potentials [59]. Optimum growth occurs between 25°C
171 to 42°C while they flourish at high temperatures and low water activity associated with droughts
172 as in Uganda. These factors contribute to the epidemiology of the two *Aspergillus* fungi. Despite
173 the optimum temperature for AF biosynthesis reported to be 28°C to 35°C, some studies indicate
174 higher temperatures inhibit AF biosynthesis [60, 61]. Considering this observation, the conditions
175 in Uganda favour *A. flavus* and *A. parasiticus* growth along with their aflatoxigenic contamination
176 of foods.

177 In Uganda, AFB₁ is the most studied [62] while AFM₁ have received little attention [63]. Thus,
178 most studies reported AFB₁ levels or did not distinguish between the different types [64-69].
179 Others, such as the validation survey of Wacoo *et al.* [70], Muzoora *et al.* [71], Baluka *et al.* [72]
180 and Wacoo *et al.* [73] differentiated the AFs. By and large, the lack of this depth in most researches
181 can be tailored to the overall priority of simply analysing the safety of foods and/or individuals.
182 More so, there was limited facility to handle AF analysis as well as lack of funds to procure the
183 analytical grade reagents [54]. Despite the documented differences in toxicity, all AFs are harmful
184 and should be detected, quantified and rigorously controlled. Further, there is dire need for
185 comprehensive and coherent data on potential mycotoxins [74].

186 **2.2 Commodities contaminated**

187 Aflatoxigenic contamination in Uganda have been reported in maize (*Zea mays* L.) [65, 68,
188 69, 75], sorghum (*Sorghum bicolor* L.), finger millet (*Eleusine coracana*) and their local products
189 [64], peanuts (*Arachis hypogaea* L.) [63, 71, 72, 76], cassava (*Manihot esculenta*) [77], rice (*Oryza*
190 *sativa*) [78], sunflower (*Helianthus annuus*), sesame (*Sesamum indicum* L.) [69], animal feeds [79],
191 bovine milk-based products [63]. Aflatoxin have also been detected in human sera [66, 67, 80].
192 Virtually, all grains, spices and other oil seeds can not be exempted [53].

193 **2.21 Peanuts (*Arachis hypogaea* L.)**

194 Peanuts (groundnuts) is the only cheap source of plant proteins, second in importance to beans
195 (*Phaseolus vulgaris* L.) and majorly cultivated in Eastern and Northern Uganda but consumed
196 countrywide [81]. It is consumed in various forms as raw, roasted, blanched, seeds, as peanut
197 butter, crushed and mixed with traditional dishes as a sauce or as *ebinyewa* (paste/flour) [82].

198 Lopez and Crawford [76] reported on the AF content of peanuts sold for human consumption in
199 Uganda. On average, 15% of the samples had more than 1.0 µg/kg AFB₁ while 2.5% contained
200 more than 10 µg/kg of AFB₁. The contamination levels were at peak at the end of the rainy season,
201 prior to the new harvest season. Further, Korobkin and Williams [83] reported the need for AF
202 analysis of peanuts consumed by the community of West Nile, Uganda as investigation of primary
203 liver carcinoma and groundnut growing regions of Arua showed some significant correlation
204 between cancer cases (reported in the tumor registry of Kuluva Hospital, a small mission hospital
205 in the area between 1951 to 1965) to the distribution of the peanut growing areas.

206 Total AFs were reported in 80% of peanut and peanut paste samples traded in metropolitan
 207 Kampala with 40% of these having AF content exceeding FDA/WHO compliance limit of 20
 208 $\mu\text{g}/\text{kg}$ by Osuret *et al.* [84]. Unprecedented AF levels (940 $\mu\text{g}/\text{kg}$ and 720 $\mu\text{g}/\text{kg}$) were reported in
 209 peanut paste and peanut seeds respectively. In a similar concerted study [63], up to 100% of peanut
 210 flour samples used in Southwestern Uganda culinary recipes were reported positive for total AFs
 211 with a mean of $11.5 \pm 0.43 \mu\text{g}/\text{kg}$ (**Table 3**). Lack of awareness and knowledge of AF
 212 contamination control was reported to be the direct reasons for the high AF levels recorded.

213

214 Table 3. Total aflatoxin content of some selected foods in some Ankole districts of South Western Uganda

Matrix/food sample	Samples analysed	Aflatoxin positive samples		Average total aflatoxin content ($\mu\text{g}/\text{kg}$)
		< 4.0 $\mu\text{g}/\text{kg}$	> 4.0 $\mu\text{g}/\text{kg}$	
Peanut flour	n = 3	0	3	11.5 ± 0.43
Sorghum (flour & porridge)	n = 7 & n = 15	3 & 2	4 & 13	15.2 ± 0.20
Millet (flour & porridge)	n = 12 & n = 21	3 & 0	9 & 21	14.0 ± 1.22
Cassava flour	n = 18	7	11	16.0 ± 1.66
<i>Eshabwe</i> (porridge) sauce	n = 14	1	13	18.6 ± 2.40

215 Source : Kitya *et al.* [63].

216

217 The aforeacknowledged studies never correlated the AF contaminations with their causes.
 218 Subsequently, Kaaya *et al.* [85] reported in a correlative study that at farm level in villages, up to
 219 60% of peanuts had detectable AFs (**Table 4**). Further, low levels of awareness, poor storage
 220 practices, and poor processing practices (drying, sorting and milling) were implicated for the
 221 heightened AF levels registered, stressing that aflatoxigenic contamination commences right from
 222 farms. Comparative analysis of market peanuts unveiled significantly higher AF contents in
 223 retailed samples than those wholesaled.

224

225 Table 4. Aflatoxin content of peanuts from farmers in some selected peanut growing districts of Uganda

Village (district)	Samples analyzed	Aflatoxin status				Aflatoxin concentration ($\mu\text{g}/\text{kg}$)
		Positive		Negative		
Kabulamuliro (<i>Mubende</i>)	n = 25	n = 20	80%	n = 5	20%	12.4 ± 5.31
Kiboyo (<i>Iganga</i>)	n = 20	n = 15	75%	n = 5	15%	10.5 ± 6.15
Bugodi (<i>Mayuge</i>)	n = 15	n = 9	60%	n = 6	40%	7.3 ± 4.98
Gayaza (<i>Mubende</i>)	n = 12	n = 8	67%	n = 4	33%	9.8 ± 4.32

226 Adapted from Kaaya *et al.* [85].

227

228 Muzoora *et al.* [71] screened 120 peanut samples sourced from Ugandan districts of Kampala,
 229 Mubende, Gulu, Pader, Mbarara, Masindi and Kaberamaido for AFs followed by competitive
 230 enzyme linked immunosorbent assay (ELISA) quantification. Their report indicated that 72% of
 231 the samples were AF-positive with 26% having AFB₁, AFB₂, AFG₁ and AFG₂ whereas AFB₁ and
 232 AFG₁ containing samples constituted 74% of the total samples. More urban samples (67.1%) were
 233 AF positive than rural samples (47.6%). ELISA gave 81% AF-positive samples, with milled
 234 groundnuts registering higher total AF (range: 0.31 to 1,1732 $\mu\text{g}/\text{kg}$; mean: $1,277.5 \pm 382.2 \mu\text{g}/\text{kg}$)

235 compared to whole groundnut seeds (range: 1.6 to 516 $\mu\text{g}/\text{kg}$; mean: $84.7 \pm 43.8 \mu\text{g}/\text{kg}$). Up to
236 52% of the samples in the study registered total AF contents greater than FDA/WHO maximum
237 compliance limit of 20 $\mu\text{g}/\text{kg}$ for total AF in peanuts. There were typically no significant
238 differences reported in the AF content of peanuts from the different regions. The study implicated
239 milling of fungal contaminated peanuts by traders to shield evidence of spoilage from consumers
240 and the skewed distribution of AF in the studied matrices for the reported relative differences in
241 AF levels of milled and whole peanuts.

242 Partnership for Aflatoxin Control in Africa (PACA) report [86] indicate that peanuts in Uganda
243 are mycotoxicologically unfit for human consumption. Kioga plains (Iganga and Soroti districts)
244 in a survey had 20% of the peanuts with AF levels above 10 $\mu\text{g}/\text{kg}$ while Tororo had 10% of the
245 samples above the regulatory limit of 10 $\mu\text{g}/\text{kg}$. In addition, other agroecological zones had 10%
246 peanut samples with AF contamination in levels above 10 $\mu\text{g}/\text{kg}$ with exception of North Eastern
247 which had none of the samples with detectable AFs. The report is substantiated by investigations
248 of Baluka *et al.* [72] which reported that 34% of 55 peanut samples analyzed in a study contained
249 AFs in concentrations greater than the East African and FDA/WHO compliance limits for AFs in
250 peanuts.

251 From the foregoing reports, it can be noted that very high concentrations of AFs have been
252 reported in peanuts in Uganda. This could be because as the pods grow in the soil, various
253 aflatoxigenic fungi contaminate the shells, testa and seeds. Worse still, mechanical damage during
254 harvest, drying and storage further increases the chances of fungal contamination and mycotoxin
255 production. This is substantiated by a study which revealed that grains and oilseeds from maize,
256 sorghum and sunflower produced in above the ground reproductive structures had relatively lower
257 AF contamination compared to those produced in geocarpic structures of groundnut and bambara
258 nut [87].

259 **2.22 Cereals (maize, millet, sorghum, rice) and cereal-based products**

260 The occurrence of mycotoxins and associated aflatoxigenic *A. flavus/ A. parasiticus* in staple
261 Ugandan foods and their derivative poultry feeds were evaluated by Sebunya and Yourtee [72].
262 The 54 samples of maize, peanuts, soybean and poultry feed samples taken and precultured on *A.*
263 *flavus/parasiticus* selective agar (AFPA) were analyzed for their fungal content on a coconut agar
264 medium under ultraviolet light with a subsequent confirmatory scrutinization for AF production
265 in a pure culture. 25 of the samples were analyzed for AFB₁, AFG₁, zearalenone, sterigmatocystin,
266 ochratoxin A, citrinin, vomitoxin and diacetoxyscirpenol. *A. flavus/parasiticus* were reported in
267 77% of maize, peanuts (36% human food; 83.3% animal feed) and 66.6% in poultry feed. No
268 fungus was detected in soybeans whereas 8% (two) samples of the 25 mycotoxin-scrutinized
269 samples had 20.0 $\mu\text{g}/\text{kg}$ of AFB₁ (4 times the statutory limit of 5.0 $\mu\text{g}/\text{kg}$ for AFB₁ in Ugandan
270 foods).

271 Five baby food products locally produced in Uganda were bought from different shops and
272 supermarkets at the stage of consumption and investigated for contamination by different toxigenic
273 fungi and aflatoxins by Ismail *et al.* [80]. These foods, each with one or more cereal flour as an
274 ingredient were cultured using dilution plate method and three selective isolation media

275 (pentachloronitrobenzene rose Bengal yeast extract sucrose agar (PRYES), peptone-
 276 pentachloronitrobenzene agar (peptone-PCNB) and AFPA) and enumerated. PRYES plates
 277 revealed high level of contamination of the foods by *Penicillium*, with three species being
 278 nephrotoxicogenic (*P. viridicatum*, *P. verrucosum* and *P. citrinum*). On the one hand, 9 species of
 279 *Fusarium* were recovered in high frequencies and counts on peptone-PCNB. Of these, *F.*
 280 *verticillioides* followed by *F. solani* were the most prevalent while *F. proliferatum* and *F.*
 281 *tricinctum* had more propagules. In addition, aflatoxicogenic *Aspergilli* were isolated on AFPA from
 282 the majority of samples of all the products investigated in this study. *A. flavus*, *A. niger*,
 283 *Cladosporium* and yeasts were prevalent. Regarding total AFs, all samples analyzed were
 284 contaminated, though the levels detected (0-10 µg/kg and 10-20 µg/kg) were below or in the
 285 current tolerance level of 10 µg/kg and 20 µg/kg accepted in foodstuffs by Ugandan standards and
 286 WHO/FDA respectively. The contaminated foods constitute a health hazard to babies as they have
 287 a more restricted diet and generally consume more food on a body weight basis than adults. They
 288 concluded that the foods must be examined at regularly to assess their quality.
 289 Lee *et al.* [67] reported that 11% of 55 maize samples collected in a survey were contaminated
 290 with AF in the range of 12.7-123.5mg/Kg, 9 % of which exceed the maximum regulatory limit.
 291 PACA [78] reported that sorghum from the different agroecological zones represented by Lira,
 292 Gulu, Amuria, Soroti and Tororo districts of Uganda recorded between 90 to 100% of the samples
 293 positive for AFs, with total AFs ranging from 4.0 to 265.5 µg/kg (mean from 11.5 to 170.1 µg/kg).
 294 Between 85% to 100% of the samples registered total AF greater than 4 µg/kg, while between 70%
 295 to 100% of the samples had total AF greater than 10 µg/kg. Between 65% to 100% of the samples
 296 had AF content greater than 20 µg/kg. Kitya *et al.* [25] further reported that millet and sorghum
 297 from Southern Uganda had mean total AF contents of 14.0 ± 1.22 µg/kg and 15.2 ± 0.2 µg/kg
 298 respectively (**Table 3**). A regional report cited in [88] indicate that maize grains in Uganda is the
 299 least contaminated in the East African Community (**Table 5**).

300 Table 5. Per capita food and aflatoxin contamination patterns in the East Africa region

Food	Country	Per capita food consumption (g/person/day)	Mean aflatoxin content (µg/kg)
Maize	Uganda	400	9.7
	Tanzania	69	49.7
	Kenya	405	131.7
Groundnuts (peanuts)	Uganda		25.1
	Tanzania		15.0
	Burundi	65	12.5
Cassava chips	Uganda		0.5
	Tanzania	214	0.9
Sorghum	Tanzania	40	3.0
Milk	Kenya	750 ml	0.8
	Tanzania	750 ml	0.9

301 Source: Adapted from the report by the East African Community's aflatoxin working group in April 2013 (Dar es Salaam,
 302 Tanzania (EAC/TF/405/2013) cited in a penultimate study [88].

303 The moisture and total aflatoxin content of 27 samples of fresh harvested maize from Mubende,
 304 Ibanda, Jinja, Mayuge, Buikwe, Hoima, Mpigi, Masindi and Bugiri districts of Uganda
 305 representing the agroecological zones: Lake Victoria crescent, Western Highlands, South East and

306 Lake Albert Crescent were determined by Omara [65]. The moisture content ranged from 12.9%
307 to 18.8% (mean : $13.9 \pm 0.35\%$ to $17.2 \pm 1.55\%$) with the highest moisture recorded in maize from
308 Ibanda. The highest mean AF content of $11.0 \pm 3.01 \mu\text{g/kg}$ was recorded in maize from Hoima
309 while the lowest AF content of $3.8 \pm 1.30 \mu\text{g/kg}$ was reported in maize from Mpigi. All the samples
310 had detectable AFs but none had AF content greater than $20 \mu\text{g/kg}$. The lower levels of aflatoxin
311 recorded in this study was attributed to the fact that the maize had not undergone post-harvest
312 handling practices which are reported to increase AF content in maize [58]. The study concluded
313 that maize in Uganda are pre-contaminated by AFs prior to harvest and recommended that farmers
314 should plant maize varieties with established maturity periods to ensure timely harvesting.

315 **2.23 Cassava (*Manihot esculenta*)**

316 Cassava is one of the most important staple foods in Uganda grown majorly in Northern Uganda
317 and Eastern Uganda [81]. The dynamics in cyanogen levels during the processing, the associated
318 microflora, proteinaceous content, amino acid patterns and mycotoxin contamination of cassava
319 products processed traditionally by the Alur people of West Nile were investigated by Essers *et al.*
320 [89]. Cassava tuber processing was monitored at six rural households and replicated in an
321 analytical laboratory setting, comparing it to sun-drying. Cassava flours from the rural households
322 were analyzed for residual cyanogens, mutagenicity, cytotoxicity and AFs. Mean total cyanogen
323 levels in flours collected were $20.3 \pm 16.8 \text{ mg CN equivalents kg}^{-1}\text{dw}$ in 1990 for 23 samples and
324 $65.7 \pm 56.78 \text{ mg CN equivalents kg}^{-1}\text{dw}$ in 1992 for 21 samples. Mean cyanohydrins plus HCN
325 levels were 9.1 ± 8.7 in the 1992 samples. Total cyanogen levels in the village monitored batches
326 reduced significantly following heap-fermentation to 20.4 ± 14.0 from $436.3 \pm 140.7 \text{ mg CN}$
327 $\text{equivalents kg}^{-1}\text{dw}$ cassava. Residual cyanogen levels were positively correlated with particle size
328 of the resulting crumbs. Hence, heap-fermentation proved significantly more effective in reducing
329 cyanogen levels than sun-drying alone, though it did not always result in innocuous levels of
330 cyanogens. Dominant mycelial growth reported was from the fungi *Neurospora sitophila*,
331 *Geotrichum candidum* and *Rhizopus oryzae*. No mutagenicity, cytotoxicity nor AFs were detected
332 in the flours while protein quantity and quality were not significantly reduced. The authors further
333 reported that since the removal of cyanogens was more efficient and no new obvious health risks
334 were noted, heap-fermentation can be regarded as an improvement compared to sun-drying alone
335 in areas where cassava varieties with higher cyanogen levels prevail, with optimization of the
336 process not to compromise the final product safety [82].

337 Data available in open literature have reported AF contamination of cassava in Uganda at an
338 average content of $0.5 \mu\text{g/kg}$ (Table 5). Osuret *et al.* [84] found 20% (1/5) samples of cassava sold
339 in metropolitan Kampala to be aflatoxigenically contaminated in levels above WHO/ US EPA
340 compliance limit of $20 \mu\text{g/kg}$. In a similar concerted investigation, Kitya *et al.* [63] bewrayed that
341 cassava chips in South Western Uganda are mycotoxicologically contaminated with mean total
342 AF content of $16.0 \pm 1.66 \mu\text{g/kg}$. Kaaya and Eboku [77] reported *Rhizopus* (66.7%), *Mucor* (37%),
343 *Penicillium* (22.2%), *Aspergillus* (20.4%) and *Fusarium* species (5.6%) as the fungi contaminating
344 dry cassava chips in Eastern Uganda with up to 30% of the samples registered positive for AF

345 (mean AF content was 0.51 µg/kg; AF range was 0.0 to 4.5 µg/kg). *A. flavus* regrettably was
346 reported in 18.5% of the analyzed samples.

347 **2.24 Animal products**

348 Most of AFB₁ and AFB₂ ingested by mammals are eliminated through urine and faeces. A
349 fraction of this is biotransformed in the liver and excreted in milk and urine as AFM₁ and AFM₂
350 respectively. AFM₁ is detectable in milk 12-24 hours after the first AFB₁ ingestion, reaching a high
351 level after a few days. Thus, dietary exposure to AFs through consumption of milk from lactating
352 animals fed on AF-contaminated feeds in Uganda is as high as microbial contamination of milk
353 reported in Metropolitan Kampala [83]. In Western Uganda, Kitya *et al.* [63] reported that a bovine
354 milk-based ghee sauce (*Eshabwe*) had a mean total AF content of 18.6 ± 2.4 µg/kg which was the
355 highest of all the matrices tested for aflatoxin in the Ankole districts of Mbarara, Ntungamo,
356 Rukungiri, Kasese and Kabale (**Table 3**). *Eshabwe* is a traditional Ankole delicacy prepared from
357 unprocessed ghee, rock salt, boiled cold water and salt and is commonly prepared for special
358 ceremonies as a condiment [90]. Given the fact that this sauce is almost prepared by every Ankole
359 family, the study indicated that the high incidences of hepatocellular carcinoma could be correlated
360 to the consumption of such aflatoxin-contaminated foods resulting from the traditional food
361 processing techniques.

362 Upon ingestion of AFB₁, Cytochrome P450 enzymes (CYP) (including CYP1A2, CYP3A4 and
363 CYP2A6) in the liver and other tissues convert AFB₁ to epoxides (AFB₁-8,9-exo-epoxide and
364 AFB₁-8,9-endo-epoxide) and to AFM₁, AFP₁, AFQ₁ and its reduced form aflatoxicol. Of the
365 epoxides, the AFB₁-8,9-exo-epoxide can form covalent bonds with DNA and serum albumin
366 resulting in AFB₁-N7-guanine and lysine adducts respectively. Like AFB₁, AFM₁ can also be
367 activated to form AFM₁-8,9-epoxide that binds to DNA resulting in AFM₁-N7-guanine adducts.
368 These guanine and lysine adduct have been noted to appear in urine. The metabolites AFP₁, AFQ₁
369 and aflatoxicol are thought to be inactive and are excreted as such in urine, or in the form of
370 glucuronyl conjugates from bile in faeces [91].

371 In Uganda, there is no report on the aflatoxin content of other products of animal origin such as
372 meat and blood.

373 **2.30 Co-occurrence of aflatoxins with other mycotoxins in Ugandan foods**

374 Several mycotoxins can occur simultaneously in matrices [84]. The statutory and regional
375 regulations in place for food and feed products are based entirely on AFs, failing to take into con-
376 sideration possible combined toxic effects of different mycotoxins. Some studies in Uganda have
377 reported the co-occurrence of AFs with some mycotoxins.

378 In an investigation by Sebunya and Yourtee [72] on 25 samples of foods analyzed for AFB₁, AFG₁,
379 zearalenone, sterigmatocystin, ochratoxin A, citrinin, vomitoxin and diacetoxyscirpenol, two
380 samples had 20 µg/kg of AFB₁. Zearalenone and vomitoxin were detected in 3 and 2 samples of
381 maize respectively.

382 Following a WHO meeting on nodding syndrome in Kampala, Uganda in 2012, it was
383 recommended that fungal contamination of foods should be investigated as a possible cause of the

384 disease. Echodu *et al.* [66] assessed the relationship between consumption of mycotoxin-
385 contaminated foods (sorghum, millet, sunflower, groundnut, sesame and maize) and the
386 development of nodding syndrome in the affected Northern districts of Lamwo and Kitgum. Very
387 high levels of total AFs and ochratoxins in millet, sorghum, maize and groundnuts in both
388 households with and without children with nodding syndrome were registered. No significant
389 association between concentrations of the mycotoxins and the presence of children with nodding
390 syndrome in households was noted. Sorghum in this study had the highest total AF ranging from
391 0.00 to 68.2 µg/kg while the lowest AF was recorded in sesame (maximum AF of 4.5 µg/kg). In
392 this study, the highest ochratoxins and vomitoxin/deoxynivalenol content were 7.647 µg/kg and
393 2.606 µg/kg reported in sorghum and maize from Lamit Tumangu village, Kitgum district
394 respectively.

395 Baluka *et al.* [72] compared mycotoxins and selected trace metal content of peanuts sold in selected
396 markets in Kampala, Uganda to those traditionally prepared in homes. Market processed peanut
397 samples ($n = 33$) were purchased from four St. Balikuddembe, Nakawa, Kalerwe and Bukoto
398 markets of Metropolitan Kampala, central Uganda. Control samples ($n = 5$) were unground peanuts
399 bought from markets but processed in homes by traditional methods and others by metal grinding.
400 Aflatoxins: B₁, B₂, G₁, G₂; Fumonisin; Deoxynivalenol, Nivalenol, Ochratoxin A, T2 toxin,
401 Zearalenone, and Zearalenol and heavy metals: Arsenic, Boron, Barium, Cadmium, Chromium,
402 Copper, mercury, Magnesium, Nickel, Lead and Zinc were analysed. AFs, particularly AFB₁, was
403 reported as the predominant mycotoxins in the samples. There were significantly higher
404 concentrations of AFs in market-processed than in home-processed samples. AF concentrations
405 were in the range of 0–540 µg/kg for AFB₁, 0–141 µg/kg for AFB₂, 0–213 µg/kg for AFG₁, 0–36
406 µg/kg for AFG₂ and 0–849 µg/kg for total AFs. Aflatoxin B₁ was most abundant AF in
407 concentrations greater than FDA/WHO limit of 20 µg/kg. The Cadmium and Lead content of the
408 samples were below the method limit of detection of 0.25 ppm though one sample (2.6%) had
409 arsenic concentration above the FDA maximum permitted concentration of 1.4 ppm. The
410 concentrations of chromium and mercury in 100% of the samples were below the FDA acceptable
411 limit of 1 and 0.5 ppm respectively. Roasting and duration of grinding had no appreciable effect
412 on AFs and metalliferous content of the samples. The study recommended the need for food-borne
413 toxicant monitoring of foods for human consumption in Ugandan public markets [72].

414 **2.40 Geographical distribution of aflatoxins in Uganda**

415 Brazil was the first hotspot of AFs recorded [92] before subsequent reports cited Uganda, Kenya,
416 Senegal, Mozambique, Swaziland, Nigeria, China, Thailand and Philippines [93]. Arne Sherck-
417 Hanssen [94] reported in 1970 a case report that implicated the death of a Ugandan to be linked
418 with ingestion of aflatoxin-contaminated cassava. The 15-year-old boy was admitted to Mulago
419 Hospital, Kampala, Uganda on June 4th, 1967 with abdominal pains and swelling of the legs for a
420 couple of days. The pulse rate was declared normal (100/50 mm Hg). Probing clinical analyses
421 declared he was in heart failure. Upon administration of digestoxin and Mersalyl sodium, the boy
422 passed on two days after admission. An autopsy recorded edema and congestion of the lungs with
423 diffuse necrosis of the liver. Histology demonstrated centrilobular necrosis and subsequent

424 aflatoxigenic investigation of a sample of the cassava eaten by the boy with his sister and brother
 425 (who also became ill but survived) indicated the cassava had 1,700 µg/kg of aflatoxin B₁ which is
 426 markedly lethal if ingested for over three weeks when compared with the acute toxicity dose of
 427 220 µg/kg aflatoxin B₁ in African monkeys [95].

428 Uganda is divided into ten agro-ecological zones: Southern highlands, Southern dry lands, Lake
 429 Victoria crescent, Eastern, Mid Northern, Lake Albert crescent, West Nile, Western highlands,
 430 South East and Karamoja drylands [96]. AFs tend to be recorded at nearly equal concentrations in
 431 food samples from the different zones. This can be attributed to the similarity in the agronomic,
 432 pre-, peri- and post-harvest handling practices and the inter-regional marketing of foods in Uganda
 433 [86].

434 In one of the pioneering surveys, the AF content of 480 foods stored for consumption between
 435 harvests in Uganda between September 1966 to June 1967 (for nine solid months) were evaluated
 436 by Alpert *et al.* [97]. Up to 29.6% of these had detectable AF with 3.7% of the samples recording
 437 >1.0 µg/kg AF content. Beans had the highest aflatoxin content (72%) while the prevalence of
 438 aflatoxins in maize, peanuts and cassava were reported at 45%, 18% and 12% respectively. Rice
 439 in this study had no detectable aflatoxins. The high prevalence of aflatoxigenic contamination
 440 reportedly correlated with provinces with a high recorded hepatoma incidence, or moldy food
 441 consumption (**Table 6**). This led to the postulation that AF exposure may be a contributing factor
 442 for the elevated levels of hepatoma in Uganda [89].
 443
 444

Table 6. Aflatoxin content of some staple foods in Uganda

Sample/Matrix	Number of samples			Total aflatoxin (µg/kg)		
	Analyzed	AF positive	% AF positive	1-100	100-1000	>1000
Beans	64	46	71.9	30	11	5
Maize	49	22	44.9	13	9	0
Sorghum	69	26	37.7	19	5	5
Peanuts	152	27	17.8	11	8	8
Millet	55	9	16.4	9	0	0
Peas	19	3	15.8	3	0	0
Cassava	34	4	11.8	0	2	2
Rice	11	0	N/A	0	0	0
Other grains	11	2	18.2	0	1	1
Grain mixtures	16	3	18.7	2	0	0
Total	480	142		87	37	18

445 Adapted from [97], N/A-Not applicable
 446

447 In the same study, the local cancer registry in the regions where samples were drawn were
 448 checked for the period 1964 to 1966. The study indicated that Karamoja region had the highest
 449 hepatoma frequency of 6.8 cases per 1,000 people per annum with a frequency of AF
 450 contamination at 44% (**Table 7**). Overall, hepatoma occurred at an average rate of 1.0 to 2.7
 451 cases per 1,000 people per year [97].

452 No study has reported in open literature on the AF content of beer consumed by Ugandans,
 453 yet it is among the most consumed foods that perhaps uses all the major cereals: maize, sorghum
 454 and barley as well as cassava. Beers are practically products of mixed-culture fermentations, a

455 process that continues upto consumption time. Thus, brewing is an ideal route for exposure to AFs
 456 as it offers favorable conditions for aflatoxigenic fungal growth [90] and creates an avenue for use
 457 of contaminated grains as the final consumers will not be able to physically detect as reported for
 458 peanut paste [71].

459

460 Table 7. Hepatoma incidence and frequency of aflatoxin contamination of some staple foods in Uganda

Region	Hepatoma cases/100,000 people per annum	Aflatoxigenic contamination				
		Analyzed samples	% of samples AF positive	Total aflatoxin ($\mu\text{g}/\text{kg}$)		
				1-100	100-1000	>1000
Toro	No data collected	29	79.3	10	31	38
Karamoja	15.0	105	43.8	24	15	5
Buganda	2.0-3.0	149	28.9	23	4	1
West Nile	2.7	26	23.1	19	4	0
Busoga	2.4	39	10.3	05	5	0
Acholi	2.7	26	15.4	15	0	0
Ankole	1.4	37	10.8	11	0	0
Rwanda immigrants	3.0	None collected	Not applicable	Not applicable	Not applicable	Not applicable

461 Modified from [97], regions have different tribes with different traditional practices and ways of handling foods.

462

463 **3.0 Capacity for detection and quantification**

464 Specific, sensitive and simple analytical methods for detection and quantification of AFs are
 465 prerequisites for their accurate detection and quantization given their presence in very meagre
 466 concentrations and their skewed nature of distribution in matrices [98]. The accuracy, precision,
 467 reproducibility and repetitiveness of analytical techniques for detection and quantification of the
 468 AF content of a commodity is largely influenced by the way each step in the analytical process
 469 from sampling to extraction, clean-up and quantification is perfected. One of the biggest challenges
 470 is that it is often hard to obtain representative samples for AF analysis for bulk lots of commodities.
 471 This is in part due to the fact that the aflatoxigenic molds do not grow uniformly in the matrices,
 472 giving a skewed distribution [98].

473 **3.1 Methods of detection and quantification employed by AF investigations in Uganda**

474 The methods for detection of AFs in agricultural foods have been reviewed in sufficient details by
 475 some Ugandan authors [13]. This also explains, in part, the fact that most AF investigations in
 476 Uganda following this review such as that of Muzoora *et al.* [71], Echodu *et al.* [69], Wacoo *et al.*
 477 [73] and Byakika *et al.* [64] employed selective and highly sensitive methods. **Table 8** summarizes
 478 some of the methods employed by aflatoxigenic investigations in Uganda.

479 Generally, aflatoxigenic analysis of samples employed laboratory-based high performance liquid
 480 chromatography (HPLC), thin layer chromatography (TLC), enzyme linked immunosorbent
 481 assays (ELISA), fluorescence spectrophotometry (FL) and liquid chromatography tandem mass
 482 spectrometry (LC-MS/MS) which are expensive, labour intensive and time consuming [13].

483 Unlike reported before [54], Uganda have developed some appreciable capacity to detect and
 484 quantify specific AFs with laboratories at Makerere University, Chemiphar Uganda Limited,
 485 Uganda National Bureau of Standards, Uganda Industrial Research Institute and Directorate of
 486 Government Analytical laboratory. Unfortunately, all these laboratories are located in the
 487 country's capital (Kampala) making them inaccessible to other regions. At industrial level, agro-
 488 processing companies are monitoring total AFs in cereals using single step lateral flow
 489 immunoassays utilizing Reveal Q+ test strips that are developed and read on AccuSan Gold readers
 490 [52, 68].

491
 492 Table 8. Some of the analytical methods employed by aflatoxigenic investigations in Uganda.

Method	Sample (s)	Year	References
Lateral flow immunochromatography	Maize grain	2019	Omara [68]
HPLC	Maize-based product (<i>Kwete</i>)	2019	Wacoo <i>et al.</i> [73]
ELISA	Sorghum, millet, <i>obushera</i>	2019	Byakika <i>et al.</i> [64]
ELISA, HPLC	Maize flour	2018	Wacoo <i>et al.</i> [70]
ELISA	Maize, sorghum, millet, sesame, peanuts	2018	Echodu <i>et al.</i> [69]
HPLC	Human sera	2018	Lauer <i>et al.</i> [92]
TLC, ELISA	Peanuts (seeds and paste)	2017	Muzoora <i>et al.</i> [71]
LC/MS/MS	Peanuts (seeds and paste)	2017	Baluka <i>et al.</i> [72]
FS	Peanuts (seeds and paste), cassava flour, maize grains	2016	Osuret <i>et al.</i> [84]
ELISA	Human sera	2015	Khang <i>et al.</i> [67]
ELISA	Human sera	2014	Asiki <i>et al.</i> [66]
ELISA	Cereal-based baby foods	2011	Ismail <i>et al.</i> [99]
FS	Cassava	2010	Kaaya and Eboku [77]
FS	Sorghum, millet, <i>Eshabwe</i> , peanut (seeds and paste), cassava chips	2010	Kitya <i>et al.</i> [63]
ELISA	Maize	2006	Bigirwa <i>et al.</i> [100]
FS	Peanuts	2006	Kaaya <i>et al.</i> [85]

493 Years cited represent the year the data were published with most data collected in over 2 months to 1 year.

494
 495 Due to limited access to the aforelisted laboratory-based analytical methods, a rapid on-site AF
 496 portable immunosensor based on a glass-electroless-plated silver/cysteine platform for detection
 497 of total AF was constructed at Uganda Industrial Research Institute, plot 42A, Mukabya road,
 498 Nakawa, Kampala, Uganda by Wacoo and his teammates [101]. This electrochemical
 499 immunosensor device was subsequently validated in a penultimate study [70] which assessed the
 500 AF content of 60 maize flour samples in six principal markets and 72 samples from selected
 501 households in Metropolitan Kampala. The immunosensor was reportedly validated with a linear
 502 range of 0.7 ± 0.1 to $11.0 \pm 0.3 \mu\text{g}/\text{kg}$ and limit of detection of $0.7 \pm 0.0 \mu\text{g}/\text{kg}$. Maize flours from
 503 the scrutinized markets of Usafi, Nakawa, St. Balikudembe (also called Owino), Nakasero, Kireka
 504 and Kalerwe had a mean total AF of $7.6 \pm 2.3 \mu\text{g}/\text{kg}$ with approximately 20% of the samples
 505 having higher than $10 \mu\text{g}/\text{kg}$ statutory AF limit while 45% of household samples had total AF

506 above compliance limit. The AF results from the immunosensor reportedly correlated with HPLC
507 and ELISA results with correlation coefficients of 0.94 and 0.98 respectively [70].

508 Bright greenish-yellow fluorescence (BGYF) or the black light test, which can locate lots
509 presumed to be contaminated with aflatoxin have not been reported in Uganda. This is a simple
510 test for AF in maize where kernels are viewed under an Ultraviolet lamp at 365 nm for
511 characteristic bright greenish-yellow fluorescence. This indicates a possible presence of
512 aflatoxigenic fungi or the mycotoxin itself [102]. Regulatory bodies in Uganda should develop
513 capacity to perform this simple detection test for surveillance surveys.

514 3.2 Exposure assessment

515 Humans are exposed to AFs through oral ingestion of contaminated plant products (such as
516 peanuts) primarily as AFB₁ or animal products such as meat and milk from animals previously fed
517 on AF-contaminated feed (in form of AFM₁) [14]. Farmers and other agricultural workers may
518 also get exposed by inhaling dust generated during the handling and processing of contaminated
519 crops and feeds.

520 Analytical detection and quantification of AFs in foods does not give the exact exposure levels
521 as the quantities detected in raw foods are not necessarily equivalent to that ingested. Losses are
522 possible, and therefore, epidemiological biomarkers on dietary exposure have been employed to
523 assess the level of exposure. Biomarkers are more precise for assessing the degree of exposure to
524 AFs, as they are non-subjective and can determine the internal and biologically effective doses.
525 Aflatoxin biomarkers in use currently include the AF-N₇-guanine adducts excreted in urine (reflect
526 the previous day's exposure), AFM₁ (primarily in breast milk, and reflects exposure over the
527 previous 24 hours) and the aflatoxin-albumin adduct (AF-alb) in plasma or serum with half-life of
528 about 2 months (this allows for assessment of chronic and routine exposure to AFs) [103].
529 Albumin, the only serum protein that binds AFB₁, forms a high level of adducts [104], while
530 haemoglobin (Hb) binds AFB₁ in a very low yield [105]. Albumin extracted from human blood
531 and urine avail a measure of the biologically effective dose of ingested AFB₁. Aflatoxins: B₁ and
532 G₁ can be bound by albumin, and are metabolised to 8, 9-epoxide [106]. The AF-alb adduct levels
533 are considered as AFB₁ amount ingested as AFG₁ are less prevalent in foods [36]. Thus, the AF-
534 alb biomarker is the more commonly employed as it can be easily detected by ELISA (with results
535 in pg AF-alb/mg albumin or in pg AF-lysine equivalent/mg alb) [107]. Quantification of aflatoxin-
536 lysine adduct (AFB₁-lysine) in proteolytic digests of serum with HPLC-FS or LC-MS/MS have
537 also been alternatively employed [108, 109].

538 In Uganda, Asiki *et al.* [66] reported human sera samples positive for AF-alb adducts in South-
539 western Uganda. The AF-alb adduct ranged from 0 to 237.7 pg/mg alb among 100 adults (18–89
540 years) and 96 children (0–3 years) with 75% of the participants having AF-alb adduct levels above
541 7.1 pg/mg alb, 50% levels above 10.3 pg/mg alb while 25% had levels above 15.1 pg/mg alb.
542 Overall, all the adults and the four children had detectable AF-alb adducts in the study.
543 Respondents living close to trading centres had significantly ($p = 0.003$) higher levels of detectable
544 AF-alb adducts compared to their counterparts living in villages. Respondents consuming *matooke*
545 (banana) had half detectable AF-albumin adduct compared to those who did not consume it. This

546 is because these respondents are more likely to consume other foods which are prone to AF
547 contamination hence people consuming *matooke* are less likely to have detectable AF–albumin
548 adduct.

549 A longitudinal exposure study by Kang *et al.* [67] assessed AF exposure in South-western
550 Uganda, reporting that 90% (642/713 of the sera) samples drawn from the General Population
551 Cohort were positive for AFB-Lys with a median level of 1.58pg/mg and albumin range of 0.40–
552 168 pg/mg. AFB-lysine adducts from 1999–2003 in the Rakai Community Cohort Study, showed
553 a detection rate of 92.5% (346/374) with a median of 1.18 pg/mg and a range of 0.40–122.5 pg/mg.
554 Thus, it was deduced that AF exposure is high in the studied area and a similar finding is expected
555 in other parts of Uganda. Further, a study done round the same time in the Northern part of Uganda
556 [50] reported that there is a casual effect relationship between AF exposure and impaired growth
557 in infants.

558 A cohort study by Lauer *et al.* [80] evaluated the association between maternal AF exposure during
559 pregnancy and adverse birth outcomes, lower birth weight, in a sample of 220 mother–infant pairs
560 in Mukono district, Uganda. Maternal aflatoxin exposure was assessed at 17.8 ± 3.5 pg/mg week
561 gestation. Anthropometry and birth outcome characteristics were obtained within 48 hours of
562 delivery. Median maternal AFB-Lys level was 5.83 pg/mg alb (range: 0.71–95.60 pg/mg alb,
563 interquartile range: 3.53–9.62 pg/mg alb). Increase in maternal AFB-Lys levels were significantly
564 associated with lower weight ($p = 0.040$), lower weight-for-age z -score ($p = 0.037$), smaller head
565 circumference ($p = 0.035$), and lower head circumference-for-age z -score ($p = 0.023$) in infants at
566 birth. The team concluded that there is a correlation between maternal AF exposure during
567 pregnancy and adverse birth outcomes, particularly lower birth weight and smaller head
568 circumference, though these warrant further probing studies.

569 **3.3 Co-exposure assessment with other mycotoxins**

570 The likelihood that mycotoxins may interact synergistically to induce amplified toxicity in
571 animals is high because toxigenic fungi often occur simultaneously in the same batch of
572 food/matrix and some fungi are capable of simultaneously producing several mycotoxins in a
573 single given substrate. Unfortunately, there is no data in open literature in Uganda reporting on the
574 assessment of co-exposure of AFs with other important mycotoxins such as fumonisins,
575 ochratoxins, trichothecenes and zearalenone. The paucity of this data is partially due to the
576 underdevelopment of valid biomarkers [110]. Mycotoxin-specific biomarkers for common
577 mycotoxins such as fumonisins and deoxynivalenol have been developed only very recently [111,
578 112] and their utilization in epidemiological studies can be termed as nascent. Therefore, there is
579 need for assessment of co-exposure to aflatoxins in Uganda with other mycotoxins.

580 **4.0 Prevention and control (reduction)**

581 **4.1 international, regional and statutory efforts**

582 Efforts have been put on AF control in Uganda through countrywide awareness creation [113-
583 115]. This is being currently done by the Eastern Africa Grain Council (EAGC) in collaboration

584 with Uganda National Bureau of Standards (UNBS) through the Eastern Africa Grain Institute
585 with its headquarters at Muyenga-Kampala. Between 2015 and 2018, maize exporters, traders,
586 farmer based organizations (FOBs) and warehouse handlers were trained on understanding the
587 integrated East African maize standard (EAS 2:2013), food standardization, comparison of East
588 African standards with international standards, standard maize sampling methods, maize grading
589 and mycotoxins and the available methods for mycotoxin analysis [116].

590 Since its launch in 2006, EAGC has been leading the fight against AFs, working on a range of
591 interventions to reduce the incidence, including assisting with the harmonization of AF control
592 measures and improving the regulatory environment, running AF control training programs,
593 providing moisture analyzers and tarpaulins to support farmers in drying and storing grains safely,
594 sourcing for cheaper field based AF testing kits and methods for measuring aflatoxins, conducting
595 field surveys, regular analysis and random sampling during harvesting at farm level to assess the
596 prevalence and extent of contamination, working with East African Community to increase AF
597 testing and surveillance in maize, participating in the development of the Partnership for Aflatoxin
598 Control in Africa (PACA) strategy 2013-2022 as well as advising on the East African Community
599 AF communication strategy [117].

600 National Agricultural Research Organization (NARO) in connection with Makerere University in
601 2010 developed a manual for management of AF in peanuts [81]. The manual gives a general
602 overview of AFs (structures, health and economic effects), how to control AFs and some of the
603 farming practices in Uganda that favours AF growth. It was particularly drafted to provide ample
604 guidance on the best practices in limiting AF contamination in peanuts and to raise the value of
605 groundnuts and its products

606 4.2 Scholarly efforts

607 Probing investigations of Wacoo and his team [73] revealed that probiotic enrichment of a local
608 maize based traditional beverage (*kwete*) using starter culture with the probiotic *Lactobacillus*
609 *rhamnosus* yoba 2012 and *Streptococcus thermophilus* C106 produced the beverage acceptable
610 with consumers` acceptability score of greater/equal to 6 on a 9-point hedonic scale. The beverage
611 remained stable for a month with reported *L. rhamnosus* counts of $>10^8$ cfu/g, pH 3.9 and 0.6%
612 w/v titratable acidity. AF analysis indicated that the water-soluble fraction of the beverage
613 following fermentation had more than 1000-fold reduction in AFB₁, AFB₂, AFG₁ and AFG₂
614 initially spiked in the ingredients. The efficiency of *L. rhamnosus* to bind AFB₁ was reported at
615 83.5% as determined by *vitro* fluorescence spectroscopy.

616 Mold and total AF content of cereal flours and *Obushera* (a local cereal based beverage) from
617 markets in metropolitan Kampala were evaluated by Byakika *et al.* [64]. The capacity of lactic
618 acid bacteria (LAB) starters from *obushera*; *L. plantarum* MNC 21, *Weisella confusa* MNC 20
619 and *L. lactis* MNC 24 to bind AFB₁ was evaluated against *L. rhamnosus* yoba 2012 (as a reference
620 starter strain). The authors reported that mold counts in sorghum, millet and *Obushera* were
621 between 0.0–2.4 log cfu/g, 2.0–6.5 log cfu/g and 2.0–5.5log cfu/g respectively. The mold counts
622 in all the flours as reported exceeded the maximum food safety compliance limit of 4.0 log cfu/g
623 of molds; 88.0% of *obushera* had counts within the maximum compliance limit of 1.3 log cfu/g.

624 Aflatoxigenic results revealed that total AF content of investigated matrices (sorghum, millet and
625 *obushera*) respectively in $\mu\text{g}/\text{kg}$ were 22.3 ± 21.2 , 9.9 ± 10.0 and 10.4 ± 6.1 . The LAB bound 19.3–
626 69.4% of AFB₁ in a 1000 $\mu\text{g}/\text{kg}$ matrix, with binding efficiency in the order of *L. rhamnosus* yoba
627 2012 = *L. plantarum* MNC 21 > *W. confusa* MNC 20 = *L. lactis* MNC 24. The LAB-AFB₁ complex
628 was reportedly stable to physiological saline washes, indicating that the LAB with AF-binding
629 properties can be harnessed for controlled fermentation to reduce AF content of *obushera* [64].

630 4.3 Suggested management strategies

631 The following control measures are suggested by this review for control of AFs.

632 4.31 Pre-harvest strategies

633 Crop varieties that are less susceptible to fungal growth should be bred and planted. This has
634 been reported to be one of the best approaches for reducing effects of mycotoxin-producing fungal
635 species [118]. Thus, local varieties of crops resistant to AF-producing fungi warrant investigation
636 as some studies have unveiled that some local crop cultivars (maize) had lower AF levels than
637 imported varieties [119]. In Uganda, Serenut 2 (a peanut variety) have been cited as a genetically
638 more resistant variety to fungal growth and the production of AFs [82]. Drought, disease and pest
639 tolerant/resistant crop varieties have been found to greatly reduce AF contamination. More so, host
640 and parasite macro- and micromolecular trafficking that suggests the possibility to circumvent the
641 AF problem by use of cross species RNA interference have been suggested. This equips
642 particularly maize with molecules that shuts down AF biosynthesis upon infection with
643 aflatoxigenic fungi, thwarting AF accumulation.

644 Timely harvesting of grains with the husks upon maturity in dry conditions and early removal
645 of any damaged maize kernels or cobs is a feasible AF reduction strategy [119].

646 Visual sorting, winnowing, washing, crushing and dehulling have been found to contribute up
647 to a 40–80% reduction in AF levels in grains [120, 121]. Sorting is highly recommended for
648 reducing AF content in foods, peculiarly in peanuts [119, 122-124] and cassava. Though, sorting
649 and giving children the moulded peanuts (called ‘lake’ in Northern Uganda) or using them for
650 making peanut paste should be discouraged. Sorting can be done using clean water; the damaged
651 seeds/grains are buoyant while good ones sink and can be cooked directly. This is traditionally
652 practiced in Northern Uganda with beans, peas and cow peas. Soaking and cooking in magadi
653 soda, malting and roasting are other methods that have been used to reduce the levels of AFs in
654 maize [121, 125-127]. Magadi soda is unknowingly used by rural community of Lango subregion,
655 as a catalyst for fastening the cooking of beans, peas, white ants and sesame-based dishes (*alakena*
656 and *agwaca*), vegetables and sometimes cassava.

657 Protection of crops from pest attack. This can be done using ash while in storage as is done in
658 maize [128, 129] and plant essential oils such as *Eucalyptus saligna* that have reported
659 bioinsecticidal activity [130].

660 **Biocontrol strategies** employing concoctions from plants such as *Ocimum gratissimum*,
661 *Aframonium* species, *Zingiber officinalis* (ginger), *Xylopiya aethiopica*, *Monodera myristica*,
662 *Ocimum basilicum*, *Tetrapleura tetrapeta* and *Piper guineense* have been investigated and reported

663 to inhibit *A. flavus* mycelial growth and proliferation. Essential oils of *Azadirachta indica* (neem)
664 and *Morinda lucida* have been reported to retard aflatoxigenic *A. flavus* growth and its AF
665 biosynthesis potential in inoculated maize grains [131]. Powder of *Aframomum danielli*
666 (Zingiberaceae) can regulate moulds and insect infestation in maize and soybeans in storage for
667 over a year under ambient conditions [132].

668 **Competitive exclusion.** *A. flavus* strains differ in AF production and this influences their crop
669 contamination potential. Some strains, the toxigenic strains ('S' strains) produce a lot of AFs with
670 numerous small sclerotia (< 400 µm). The 'L' strains are atoxigenic, produces low AF levels and
671 a few large sclerotia that are > 400 µm [133]. There is always competitive exclusion when one
672 strain competes to exclude another in the environment. Thus, a shift of strain profile from toxigenic
673 to atoxigenic is a viable biological control strategy. Such atoxigenic strains of *A. flavus* have been
674 combined as a bio-control product. This competitive exclusion strategy has yielded good results
675 in some investigations with up to 96% reduction in AF levels [133].

676 A biopesticide, consisting of a rhizosphere-competent non-aflatoxigenic strain of *Aspergillus* with
677 competitive saprophytic ability, may competitively exclude toxigenic strains from infecting the
678 crop. Fluorescent pseudomonads and several strains of *Trichoderma* species inhabit the
679 rhizosphere of many crop plants and have been identified as potentially promising biocontrol
680 agents against *A. flavus*. Since the beginning of the 21st century, many *Trichoderma* (>250) and
681 *Pseudomonas* (> 100) species have been isolated from peanut rhizosphere and evaluated for their
682 antagonism towards *A. flavus* and their ability to reduce pre-harvest kernel infection of peanuts.
683 Significant reduction of *A. flavus* populations and kernel infection occurred in both greenhouse
684 and field experiments. Two *Trichoderma* isolates, Tv 47 and Tv 23, and two bacterial isolates *P.*
685 *cepacia* (B 33) and *P. fluorescens* (Pf 2), were effective in reducing aflatoxin content in the kernels.
686 Control of AF contamination have also been reported to be effective using non-aflatoxigenic
687 biocontrol *A. flavus* strains that outcompetes the wild strain, reducing their concentration at the
688 contaminated site [134]. However, the efficacy of these agents warrant establishment under
689 Ugandan conditions so that affordable, readily available and effective formulations can be
690 developed for use. Further, their integration with host plant resistance and agronomic management
691 would provide an environmentally friendly option for the management of AF contamination in
692 groundnuts.

693

694 **4.32 Post-harvest management**

695 The cost of prevention versus the cost of cure is not a new debate. However, some cure
696 technologies for AFs are in place. One of the credited strategies is to reduce on the moisture content
697 of grains before storage. Rapid and proper drying of crops to moisture level of 13% or below are
698 recommended.

699 Clays such as Novasil has been demonstrated to bind AF in animal feeds [135] and reduce its
700 content. An innovation for post-harvest AF elimination called the "Toxin Scrub" has been
701 demonstrated by Grain and Toxins in Uganda but its usage has been delimited by its prohibitive
702 cost [53]. The technology utilizes ozone, a strong oxidizer to eliminate nearly all the mycotoxins

703 in the grain. This is supported by the fact that AFs are unstable to UV light in the presence of
704 oxygen, to extremes of pH (<3, >10) and to oxidising agents such as sodium hypochlorite,
705 potassium permanganate, chlorine, hydrogen peroxide, ozone and sodium perborate [19]. AFs are
706 also degraded by reaction with ammonia, various amines and sodium hypochlorite. Some
707 compounds such as curcumin can alter the microsomal activation of AFB₁ and reduce the AFB₁
708 toxicity by increasing its detoxification.

709 Chemoprotection against AFs consumed by animals has also been reported. It utilizes
710 compounds such as esterified glucomanoses and other yeast extracts that increases the animal's
711 detoxification process or otherwise prevent the production of AF- epoxide, thereby reducing or
712 blocking AFB₁-induced hepatocarcinogenesis. Oltipraz and chlorophyll are used to reduce the
713 biologically effective dose and acts by binding AFs, thereby rendering them biologically
714 unavailable to humans and animals.

715 **4.4 Treatment**

716 No scientifically proven and wholly specific antidote for ingested AFs have been reported.
717 However, timely use of l-methionine (200 mg/kg) and sodium thiosulfate (50 mg/kg) after every
718 8 hours have reported therapeutic significance. Dietary intake of protein, vitamins, and
719 antioxidants can be encouraged in case of aflatoxicosis [136].

720 **5.0 Conclusion**

721 Aflatoxin surveillance in Uganda is done through reactive approach. Ugandan foods are
722 mycotoxicologically contaminated with aflatoxins and this has serious health implications.
723 Limited studies have been done on aflatoxins in Uganda. No study in Uganda have assessed AFs
724 in beers, imported rice such as basmat and sugarcane despite them being daily consumables. The
725 Ugandan government through its ministries should develop capacity to detect, quantify, monitor
726 and regulate AFs in foods produced and sold within the country and those exported/imported.
727 There is need for more aflatoxin exposure assessments as well as co-exposure to aflatoxins with
728 other mycotoxins.

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